



SPARROW
WEALTH MANAGEMENT

INVESTED IN RELATIONSHIPS
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PRIVACY STATEMENT

Sparrow Wealth Management (SWM) is committed to maintaining the confidentiality, integrity and security of the personal information that is entrusted to the firm. SWM holds all personal information in the strictest confidence.

The categories of nonpublic information that SWM collects from you may include information about your personal finances, information about your health to the extent that it is needed for the financial planning process, information about transactions between you and third parties, and information from consumer reporting agencies (e.g., credit reports). SWM uses this information to help you meet your personal financial goals.

With your permission, SWM discloses limited information to attorneys, accountants, bankers, and mortgage lenders with whom you have established a relationship. With your permission, SWM shares a limited amount of information about you with your brokerage firm in order to execute securities transactions on your behalf. You may opt out from SWM sharing information with these nonaffiliated third parties by notifying SWM at any time by telephone, mail, fax, email, or in person.

SWM diligently maintains physical, electronic and procedure safeguards that comply with applicable federal standards to guard your private personal information and to prevent unauthorized access to that information.

SWM does not provide your personal information to mailing list vendors or solicitors.

SWM requires strict confidentiality in agreements with unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors. Federal and state securities regulators may review SWM's records and your personal records as permitted by law.

Personally identifiable information about you will be maintained while you are a client, and for the required period thereafter that records are required to be maintained by federal and state securities laws. After that time, information may be destroyed.

SWM will notify you in advance if this privacy policy is expected to change. SWM is required by law to deliver this *Privacy Statement* to you annually, in writing.